

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 608-423
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/500305 <small>USA 11/18/02</small>	
INTERNATIONAL APPLICATION NO. PCT/GB02/05455	INTERNATIONAL FILING DATE 4 December 2002	PRIORITY DATE CLAIMED 2 January 2002	
TITLE OF INVENTION INTEGRATED PROCESS FOR THE MANUFACTURE OF ALKENYL CARBOXYLATES			
APPLICANT(S) FOR DO/EO/US CLARKE et al			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (20 pages specification, claims & abstract (23 claims), 1 sheets drawings). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation <ol style="list-style-type: none"> a. <input type="checkbox"/> of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page Certificate of Translation). b. <input type="checkbox"/> of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. a. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). b. <input checked="" type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (1 page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached)). 10. See item 6.b. above. <p>Items 11 To 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information. Specification Amended Sheets pages 7 and 11 dated December 18, 2003; Form PCT/IB/304 			

PTI11 Rec'd PCT/PTO 28 JUN 2004

U.S. APPLICATION NO. (if known, See 37 C.F.R. 1.5) Unassigned		INTERNATIONAL APPLICATION NO. PCT/GB02/05455		ATTORNEY'S DOCKET NUMBER 608-423																															
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																																	
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):																																			
<ul style="list-style-type: none"> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1080.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO..... \$770.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 																																			
ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 920.00																																			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).																																			
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th colspan="3">RATE</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>23</td> <td>minus 20 =</td> <td>3</td> <td>X</td> <td>\$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>minus 3 =</td> <td>0</td> <td>X</td> <td>\$86.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td colspan="3">\$290.00</td> </tr> <tr> <td colspan="6">Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months)</td> </tr> </tbody> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	23	minus 20 =	3	X	\$18.00	Independent Claims	1	minus 3 =	0	X	\$86.00	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$290.00			Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months)					
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TOTAL OF ABOVE CALCULATIONS = \$ 1104.00																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.																																			
SUBTOTAL = \$ 1104.00																																			
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).																																			
TOTAL NATIONAL FEE = \$ 1104.00																																			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property																																			
+ \$ 40.00																																			
Fee for Petition to Revive Unintentionally Abandoned Application (\$1330.00 - Small Entity = \$665.00)																																			
TOTAL FEES ENCLOSED = \$ 1144.00																																			
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Amount to be: refunded</td> <td style="width: 50%;">\$</td> </tr> <tr> <td>Charged</td> <td>\$</td> </tr> </table>						Amount to be: refunded	\$	Charged	\$																										
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<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1144.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees.</p> <p>A duplicate copy of this form is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1140</u>. A <u>duplicate</u> copy of this form is enclosed.</p> <p>d. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/GB02/05455 and any U.S. and foreign application(s) corresponding thereto, and 020021.4, referred to in this application is/are hereby incorporated by reference in this application.</p> <p>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>CORRESPONDENCE ADDRESS Direct all correspondence to:</p> <p><input checked="" type="checkbox"/> Customer Number: <u>23117</u></p> <p>Type Customer Number here →</p> <p>Place Customer Number Bar Label Here →</p> <p>Telephone: (703) 816-4000 LCM:lfm</p> <p>Leonard C. Mitchard NAME</p> <p>29,009 REGISTRATION NUMBER</p> <p>June 15, 2004 Date</p>																																			

Telephone: (703) 816-4000
LCM:lsm

Customer Number:

23117

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Number Bar
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Leonard G. Mitchell

NAME

29.00g

June 15, 2004

Date